# Regular Expressions

Definitions
Equivalence to Finite Automata

#### RE's: Introduction

- Regular expressions are an algebraic way to describe languages.
- They describe exactly the regular languages.
- If E is a regular expression, then L(E) is the language it defines.
- We'll describe RE's and their languages recursively.

#### RE's: Definition

- Basis 1: If a is any symbol, then a is a RE, and  $L(a) = \{a\}$ .
  - Note: {a} is the language containing one string, and that string is of length 1.
- ♦ Basis 2:  $\epsilon$  is a RE, and  $L(\epsilon) = {\epsilon}$ .
- ♦ Basis 3:  $\emptyset$  is a RE, and L( $\emptyset$ ) =  $\emptyset$ .

# RE's: Definition – (2)

- Induction 1: If  $E_1$  and  $E_2$  are regular expressions, then  $E_1+E_2$  is a regular expression, and  $L(E_1+E_2) = L(E_1) \cup L(E_2)$ .
- ◆Induction 2: If  $E_1$  and  $E_2$  are regular expressions, then  $E_1E_2$  is a regular expression, and  $L(E_1E_2) = L(E_1)L(E_2)$ .

Concatenation: the set of strings wx such that w Is in  $L(E_1)$  and x is in  $L(E_2)$ .

# RE's: Definition – (3)

◆Induction 3: If E is a RE, then E\* is a RE, and L(E\*) = (L(E))\*.

*Closure*, or "Kleene closure" = set of strings  $w_1w_2...w_n$ , for some  $n \ge 0$ , where each  $w_i$  is in L(E).

Note: when n=0, the string is  $\epsilon$ .

# Precedence of Operators

- Parentheses may be used wherever needed to influence the grouping of operators.
- Order of precedence is \* (highest), then concatenation, then + (lowest).

## Examples: RE's

- $\bullet$ L(**01**) = {01}.
- $\bullet L(01+0) = \{01, 0\}.$
- $\bullet$ L(0(1+0)) =  $\{01, 00\}.$ 
  - Note order of precedence of operators.
- $\bullet L(\mathbf{0}^*) = \{ \epsilon, 0, 00, 000, \dots \}.$
- +L((**0**+**10**)\*( $\varepsilon$ +**1**)) = all strings of 0's and 1's without two consecutive 1's.

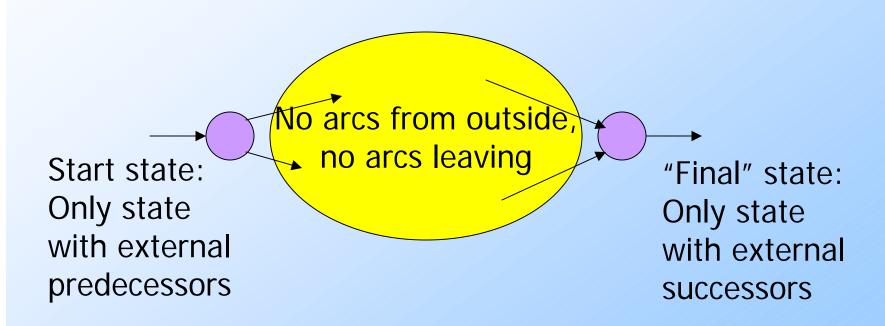
# Equivalence of RE's and Automata

- We need to show that for every RE, there is an automaton that accepts the same language.
  - ◆ Pick the most powerful automaton type: the ∈-NFA.
- And we need to show that for every automaton, there is a RE defining its language.
  - Pick the most restrictive type: the DFA.

# Converting a RE to an $\epsilon$ -NFA

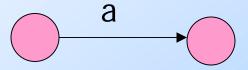
- Proof is an induction on the number of operators (+, concatenation, \*) in the RE.
- We always construct an automaton of a special form (next slide).

### Form of ∈-NFA's Constructed



## RE to $\epsilon$ -NFA: Basis

◆Symbol **a**:



**◆**∈:

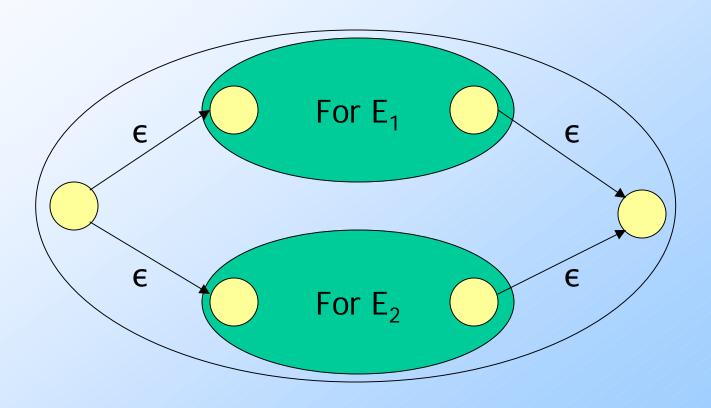


**♦**∅:

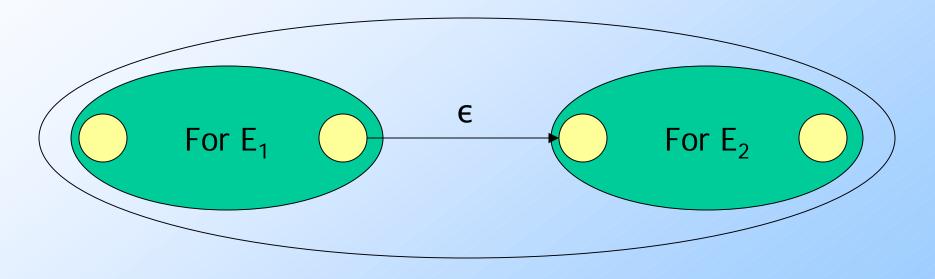




## RE to $\epsilon$ -NFA: Induction 1 – Union

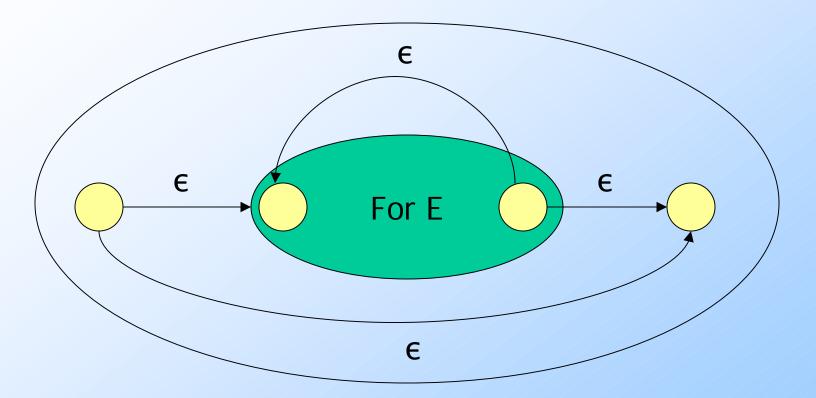


# RE to ∈-NFA: Induction 2 – Concatenation



For E<sub>1</sub>E<sub>2</sub>

## RE to $\epsilon$ -NFA: Induction 3 – Closure



For E\*

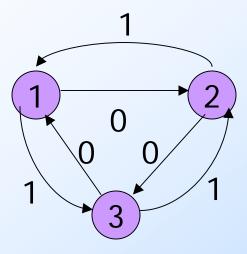
#### DFA-to-RE

- A strange sort of induction.
- States of the DFA are assumed to be 1,2,...,n.
- We construct RE's for the labels of restricted sets of paths.
  - Basis: single arcs or no arc at all.
  - Induction: paths that are allowed to traverse next state in order.

#### k-Paths

- A k-path is a path through the graph of the DFA that goes though no state numbered higher than k.
- Endpoints are not restricted; they can be any state.

## Example: k-Paths



0-paths from 2 to 3: RE for labels =  $\mathbf{0}$ .

1-paths from 2 to 3: RE for labels =  $\mathbf{0}+\mathbf{11}$ .

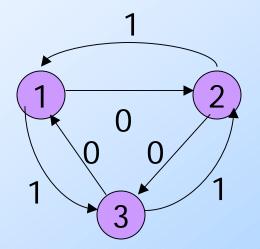
2-paths from 2 to 3: RE for labels = (10)\*0+1(01)\*1

3-paths from 2 to 3: RE for labels = ??

## k-Path Induction

- Let R<sub>ij</sub><sup>k</sup> be the regular expression for the set of labels of k-paths from state i to state j.
- ♦ Basis: k=0.  $R_{ij}^{0} = \text{sum of labels of arc}$  from i to j.
  - Ø if no such arc.
  - But add  $\epsilon$  if i=j.

# Example: Basis



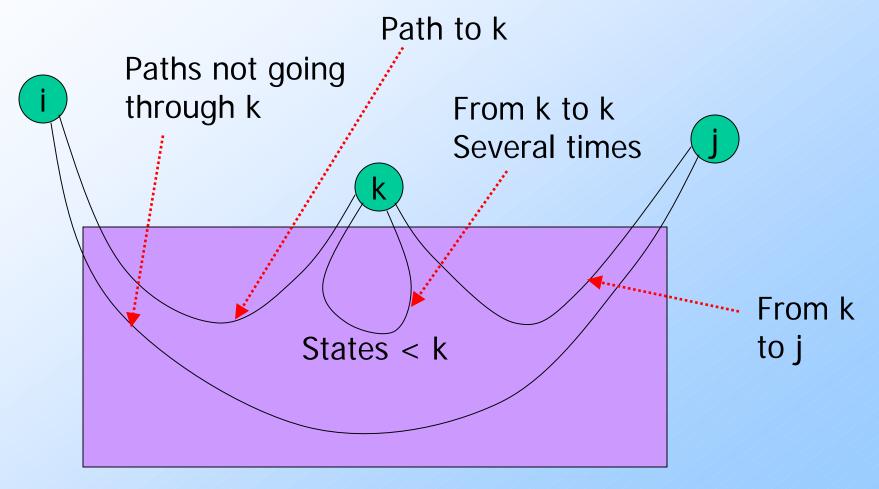
- $R_{12}^{0} = 0.$

## k-Path Inductive Case

- A k-path from i to j either:
  - 1. Never goes through state k, or
  - 2. Goes through k one or more times.

$$R_{ij}{}^k = R_{ij}{}^{k-1} + R_{ik}{}^{k-1}(R_{kk}{}^{k-1})^* R_{kj}{}^{k-1}.$$
Goes from Then, from k to jump to through k first time Zero or more times from k to k

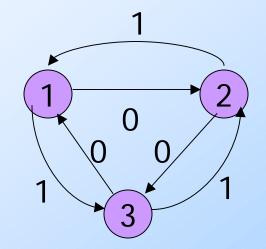
## Illustration of Induction



## Final Step

- The RE with the same language as the DFA is the sum (union) of R<sub>ii</sub><sup>n</sup>, where:
  - 1. n is the number of states; i.e., paths are unconstrained.
  - 2. i is the start state.
  - 3. j is one of the final states.

# Example



- $R_{23}^3 = R_{23}^2 + R_{23}^2(R_{33}^2)^*R_{33}^2 = R_{23}^2(R_{33}^2)^*$
- $R_{23}^2 = (10)^*0 + 1(01)^*1$
- $\bullet R_{33}^2 = 0(01)^*(1+00) + 1(10)^*(0+11)$
- $R_{23}^{3} = [(10)*0+1(01)*1]$ [(0(01)\*(1+00) + 1(10)\*(0+11))]\*

## Summary

◆Each of the three types of automata (DFA, NFA, ε-NFA) we discussed, and regular expressions as well, define exactly the same set of languages: the regular languages.

## Algebraic Laws for RE's

- Union and concatenation behave sort of like addition and multiplication.
  - + is commutative and associative;
     concatenation is associative.
  - Concatenation distributes over +.
  - Exception: Concatenation is not commutative.

#### Identities and Annihilators

- $\bullet \varnothing$  is the identity for +.
  - $\bullet$  R +  $\emptyset$  = R.
- $\bullet$   $\epsilon$  is the identity for concatenation.
  - $\epsilon R = R\epsilon = R$ .
- $\bullet$   $\varnothing$  is the annihilator for concatenation.
  - $\bullet \varnothing R = R\varnothing = \varnothing.$