

## CS109A Notes for Lecture 1/17/96

### Simple Inductions

Three pieces:

1. A statement  $S(n)$  to be proved.
    - The statement must be about an integer parameter  $n$ .
  2. A *basis* for the proof. This is the statement  $S(b)$  for some integer  $b$ . Often  $b = 0$  or  $b = 1$ .
  3. An *inductive step* for the proof. We prove the statement “ $S(n)$  implies  $S(n + 1)$ ” for any  $n$ .
- The statement  $S(n)$ , used in this proof, is called the *inductive hypothesis*.
  - We conclude that  $S(n)$  is true for all  $n \geq b$ .
    - $S(n)$  might *not* be true for some  $n < b$ .

**Example:** The limit of the sum

$$\frac{1}{1 \times 2} + \frac{1}{2 \times 3} + \frac{1}{3 \times 4} + \frac{1}{4 \times 5} + \dots$$

is 1. (Each term is 1 divided by the product of two consecutive integers.)

To prove this fact, we can prove the following statement about the finite prefixes of the sum:

$$S(n) : \sum_{i=1}^n \frac{1}{i(i+1)} = \frac{n}{n+1}$$

**Basis:** The basis is the case  $n = 1$ , that is, we must prove  $S(1)$ , or

$$\sum_{i=1}^1 \frac{1}{i(i+1)} = \frac{1}{1+1}$$

There is one term, for  $i = 1$ , so we find that the left and right sides of the  $=$  sign evaluate to  $1/2$ .

- Thus, the basis is true.

**Induction:** To prove the induction, we must prove  $S(n)$  implies  $S(n + 1)$ .  $S(n + 1)$  is:

$$\sum_{i=1}^{n+1} \frac{1}{i(i+1)} = \frac{n+1}{(n+1)+1}$$

- Key “trick”: Express  $S(n + 1)$  using  $S(n)$  and “something left over.”
- In this case, the sum in  $S(n + 1)$  is the sum in  $S(n)$  plus the “extra” term for  $i = n + 1$ :  $1/(n + 1)(n + 2)$ .

That is,  $S(n + 1)$  can be written:

$$\sum_{i=1}^n \frac{1}{i(i+1)} + \frac{1}{(n+1)(n+2)} = \frac{n+1}{(n+1)+1}$$

Use the inductive hypothesis. The sum is equal to  $n/(n + 1)$ ; that’s what  $S(n)$  says. Thus, we must prove:

$$\frac{n}{n+1} + \frac{1}{(n+1)(n+2)} = \frac{n+1}{(n+1)+1}$$

That’s simple algebra!

- Thus, the induction is proved, and we conclude  $S(n)$  holds for all  $n \geq$  the basis value, 1.

### General Pattern for (Simple) Inductive Proofs

1. State what  $S(n)$  is.
2. Explain intuitively what  $n$  represents, e.g., “any positive integer” or “the length of a string.”
3. Tell what value of  $n$  is the basis value, say  $n = b$ .
4. Prove  $S(b)$ .
5. State that you are assuming  $n \geq b$  and that  $S(n)$  is true.

6. Prove  $S(n + 1)$  using these assumptions. You will surely have to use the “inductive hypothesis”  $S(n)$  in the proof.
7. State that as a result of your proofs (4) and (6), you conclude  $S(n)$  for all  $n \geq b$ .

### More General Inductive Proofs

- There can be more than one basis case.
- We can do a *complete* induction (or “strong” induction), in which our proof that  $S(n + 1)$  is true uses any of  $S(b), S(b + 1), \dots, S(n)$ , where  $b$  is the lowest basis value.
- Page 51 of FCS has the general proof outline.

### An Example With Multiple Basis Cases, Complete Induction

We claim that every integer  $\geq 24$  can be written as  $5a + 7b$  for nonnegative integers  $a$  and  $b$ .

- Note that some integers  $< 24$  cannot be expressed this way, e.g., 16, 23.

Let  $S(n)$  be the statement “ $n = 5a + 7b$  for some  $a \geq 0$  and  $b \geq 0$ .”

**Basis:** The five basis cases are 24 through 28.

$$\begin{aligned} 24 &= 5 \times 2 + 7 \times 2 \\ 25 &= 5 \times 5 + 7 \times 0 \\ 26 &= 5 \times 1 + 7 \times 3 \\ 27 &= 5 \times 4 + 7 \times 1 \\ 28 &= 5 \times 0 + 7 \times 4 \end{aligned}$$

**Induction:** Let  $n + 1 \geq 29$ . Then  $n - 4 \geq 24$ , the lowest basis case.

- Thus,  $S(n - 4)$  is true, and we can write  $n - 4 = 5a + 7b$  for some  $a$  and  $b$ .
- Thus,  $n + 1 = 5(a + 1) + 7b$ , proving  $S(n + 1)$ .
  - Do not be thrown by the fact that  $a$  in the statement  $S(n + 1)$  is  $a + 1$  here. The statement calls for “any  $a$ .”

- Actually, this “complete” induction is not very complete; we only used one previous statement,  $S(n - 4)$ . But  $S(n)$  is not enough of an inductive hypothesis.

### **Class Problem for Next Time**

What is the sum of the first  $n$  terms of the series  $2 + 5 + 8 + 11 + \dots$ ?

- Figure out the answer, then prove you are right by induction on  $n$ .